

Richmond and Wandsworth SAB Risk Log

Updated December 2018

Ref	Risk	Description	Raw Risk score			Owner	Mitigation	Mitigated risk score			Status
			Likelihood	Impact	Score			Likelihood	Impact	Score	
1	Insufficient finances to undertake its statutory duty.	Contributions remain inequitable, costs continue to be covered by a small number of agencies/adult services. Lack of financial contribution lead to limitations, lowering of standards or quality.	3	4	12	Director Adult Social Care	Agree fair and equitable contributions to the costs of the SAB	2	4	8	Initial discussion at executive and agreement with a principle that contributions in cash should b limited to statutory partners
2	Insufficient information and analysis of key information about trends and concerns	Inability to obtain and analyse information on key safeguarding performance in relation to	3	4	12	Chair Performance and Workforce sub group	Clearly agreed Quality assurance plan and performance dashboard to support the SAB to understand	2	2	4	QAF agreed December 2018 and performance and workforce sub group working on dashboard



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	within the boroughs	the SAB business plan					and gain assurance of safeguarding practice across the partnership				
3	Partners disengage	Partner agencies may disengage and effective networking and multi-agency cohesion may reduce. Collaboration between agencies may be negatively affected	3	5	15	Independent chair	Establishment of effective sub groups and vibrant community forums in each borough	1	4	4	Sub groups established. Richmond community forum working effectively. Wandsworth forum to commence in January 2019.
4	Loss of locality specific focus	Borough specific themes and challenges are not identified and area specific developments are lost. Loss of what worked well.	4	5	20	Chair of Communications and engagement sub group	Establishment of a community forum in each borough with a local focus. Maintenance of all information on a borough specific basis to ensure borough	1	5	5	The Richmond community forum is functioning effectively. The Wandsworth forum will begin in January. The dashboard has a borough specific focus



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							specific issues are highlighted				
5	Statutory SAB requirements are not effectively met	The SAB is ineffective in carrying out its duties, following procedures and meeting its strategic objectives	4	4	16	Independent Chair	The Executive will receive and review quarterly reports from all the sub groups. The annual audit will ensure that there is compliance with procedures across the partnership. The SAB will review its business plan annually	1	3	3	Regular updates are received and reviewed by the executive. The annual audit is planned as is the annual business meeting
6	practice issue are not shared across the partnership and change actions are ineffective	Irregularity of contact may mean that key messages and learnings from SARS are not effectively shared and as a result key changes in	4	4	16	SAR sub group Chair	SAR sub group will ensure that all SARS are published and that there is sharing of information with the national SAR library. The performance	1	4	4	All SARS are published and registered with the national SAR repository. Learning events have been put in place to share learning and 7-minute



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		practice are not affected					and workforce sub group will ensure that 7-minute briefing are in place to share learning. The issue will be monitored at the annual audit				briefings launched. A SAB SAR learning event was held in November with positive impact on spreading learning. The annual audit will test partners engagement with SARS.
7	Inability to find independent reviews to conduct SARS	It may be difficult to find a suitably qualified reviewer to undertake a SAR resulting in undue delays and loss of impetus in understanding what when wrong and identifying learning	4	3	12	SAR subgroup Chair	There is a robust system in place for commissioning SARS with wide publicity to ensure wide reach to find reviewers. .	3	2	6	The commissioning process is effective, however there are times when finding a suitably qualified reviewer impact on the pace of completing he SAR. This is reported to the Executive .



Risk likelihood		Impact				
		Insignificant	Minor	Moderate	Major	Catastrophic
		1	2	3	4	5
Rare	1	1	2	3	4	5
Unlikely	2	2	4	6	8	10
Possible	3	3	6	9	12	15
Likely	4	4	8	12	16	20
Almost certain	5	5	10	15	20	25
		Low Risk 1-6	Medium Risk 7 - 14	High Risk 15-20		Very High Risk 25